

NOTIFICATION TEMPLATE FOR COMPLETION OF READINESS GRANT PROJECTS

Desert Research Foundation of Namibia
PO Box 20232
Windhoek, Namibia
June 8, 2017

The Adaptation Fund Board
1818 H Street NW
MSN N7-700
Washington DC, 20433 USA
Email: afbsec@adaptation-fund.org

Subject: Notification of readiness project completion

This letter is to inform you that the project to implement the grant proposal for *Technical assistance for the ESP and gender policy* approved by the Adaptation Fund Board on 30 January 2017 has officially been completed. Consultants from the International Arid Lands Consortium (IALC), Dr. Barbara Hutchinson and Kristin Wisneski-Blum, performed the required tasks as outlined in the report, and Dr. Hutchinson conducted the in-country training workshop as noted. Details of the project completion are provided on page 2 and 3 of this report.

Implementing Entity: Desert Research Foundation of Namibia (DRFN)

Country: Republic of Namibia

Grant Type: *TA Grant for the ESP and gender policy*

Project Description: Grant to support national implementing *sourcing of technical assistance for assessment and management of environmental, social and gender risks within projects and programmes* in Namibia

Project Start Date: February 5, 2017

Project Completion Date: June 15, 2017

Sincerely,



Dr. Martin B. Schneider
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Final Report: Technical Assistance for the ESP and Gender Policy (NIE, Desert Research Foundation of Namibia (DRFN))

Building on a 2013 Memorandum of Agreement between the Desert Research Foundation of Namibia (DRFN) and the International Arid Lands Consortium (IALC)¹, a collaborative proposal was submitted to the Adaptation Fund (AF) in August of 2016 in response to the Fund's "Call for Technical Assistance Grants for NIEs to Build Capacity to Mitigate Environmental, Social and Gender-related Risks." In January 2017, the grant was awarded to DRFN, the AF National Implementing Entity (NIE) for Namibia, and IALC consultants initiated project activities soon after. This involved employing user-experience research methods to develop the following products and activities (see attached Manual consisting of the following content):

- 1) Guidelines for screening projects for environmental, social, and gender (ESG) risks
- 2) Guidelines for ESG risk assessment and management plans
- 3) Checklist for public disclosure and gender-responsive consultation
- 4) Guidelines for ESG-responsive grievance mechanisms
- 5) The culmination of this technical assistance was an in-country workshop for entity staff members on AF ESG policies and procedures

Overall, the purpose of the grant was to strengthen capacity to implement the Fund's ESG policies. The aim was also to allow potential executing entities (EE) and partners as well as the National Designated Authority (NDA), Ministry of Environment and Tourism, to improve the competitiveness of proposal development as well as project effectiveness. To this end, virtual interviews were held with entity staff members and extensive research conducted on AF ESG-related policies and procedures as well as international and national laws and regulations. The results of these efforts are included in the final manual provided to DRFN as well as in the workshop training presentations (many AF documents were quoted verbatim). The primary context for integrating ESG into project design and implementation is the Implementing Entities' (IE) Environmental and Social Management System (ESMS) composed of the steps outlined below. Each step was described in detail in the prepared manual and referenced as to inclusion in project formulation, proposal development, and project implementation. They are:

- 1) Environmental, social, and gender risks identification through screening process
- 2) Environmental, social, and gender assessment (ESIA)
- 3) Environmental and social management plans (ESMP)
- 4) Environmental, social, and gender management monitoring, reporting, and evaluation
- 5) Public disclosure and consultation (stakeholder engagement)
- 6) Grievance mechanism

The following staff members participated in virtual interviews with the consultants during February and March 2017: Salomo Mbai (NUST), Bernadette Shalumba-Shivute (DRFN), Ester Nangolo (DRFN & ASSAR), Sion Shifa (MET), Johannes Sirunda (NamWater), Lesley-Anne Van Wyk (Hanns Seidel Foundation), Martin Schneider (DRFN/NIE).

¹ The International Arid Lands Consortium (IALC) is an NGO based in the United States of America with 25 years of conducting international research and development projects. See <http://ialc.world>.

Full List of Training Workshop Participants, including members of the PFG teams – May 29, 2017 – June 1, 2017

Person	Cell	e-mail	Attended ESG workshop
Mr David Jarrett	081 356 9120	rdjnamibia@gmail.com	Yes
Prof Nnnesi Kgabi	081 611 6254	nnnesikgabi@gmail.com	No
Mrs Rennie Munyayi	081 472 8588	rcmrennie@gmail.com	Yes
Mr Liberty Moyo	085 563 3563	lmoyo@nust.na	Yes
Mrs Ilze Rautenbach	081 669 8720	Ilze.Rautenbach@aurecongroup.com	Yes (1 hour)

Person	Cell	e-mail	Attended ESG workshop
Dr Axel Rothauge	081 140 3833	agriconsult@iway.na	Yes
Prof Mutjinde Katjiua	081 032 8248	mkatjiua@gmail.com	Yes
Mr Salomo Mbai	081 390 2376	mbaism669@gmail.com	Yes
Dr Anna Matros-Gorases	081 475 6514	amatros-gorases@nust.na	No

Person	Cell	e-mail	Attended ESG workshop
Dr Martin Schneider	081 246 0379	martin.schneider@drfn.org.na	Yes
Mr Olla Aldrich	081 122 0671	schreuderaldrich@hotmail.com	Yes
Ms Lesley Anne Van Wyk	081 560 7185	enviropject@hsf.org.na	No
Ms Esther Namgolo	081 323 0162	estertalohole@gmail.com	Yes
Ms Bernadette Shalumu	081 296 4043	bernadette.shaumbu@drfn.org.na	No
Mr Sion Shifa (DA)	081 745 8860	sionshifa@yahoo.com	Yes